

# Molecular properties in quantum chemistry

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- 1 Main computational schemes for correlated calculations
- 2 Development of the *ab initio* methods for the calculation of molecular properties.

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# Computational methods of quantum chemistry based on the wave function – $\Psi$

**Born-Oppenheimer approximation**

**Electronic Schrödinger equation:**

$$H\Psi = E\Psi$$

# Computational strategy in the wave function based calculations

## Two-step approach to the solution of the Schrödinger equation:

- 1 construction of the wave function within the 1-electron approximation (independent particle model): Hartree-Fock method
- 2 post-Hartree-Fock approach: determination of electronic correlation

## Computational strategy in the wave function based calculations

- **Hartree-Fock**  
(also known as a **mean field approach**)

Determination of (spin)orbitals

**Self Consistent Field (SCF)** method

$E_{\text{HF}} \sim 99\%$  of total energy

## RHF vs. UHF

- RHF(Restricted Hartree-Fock) - closed shell molecules,  $\frac{N}{2}$  orbitals (doubly occupied)
- UHF(Unrestricted Hartree-Fock) - open shell molecules,  $l$  spinorbitals with the  $\alpha$  spin and  $k$  spinorbitals with the  $\beta$  spin (DODS - Different Orbitals for Different Spins)

# RHF vs. UHF

RHF

—

—

↑↓

—

↑↓

↑↓

UHF

— —

— —

↑ ↓

↑ ↓

↑ ↓

$\alpha$   $\beta$



## UHF

### UHF cons:

- incorrect spin
- problems with convergence

### UHF pros:

- size-consistent (extensive)

## RHF

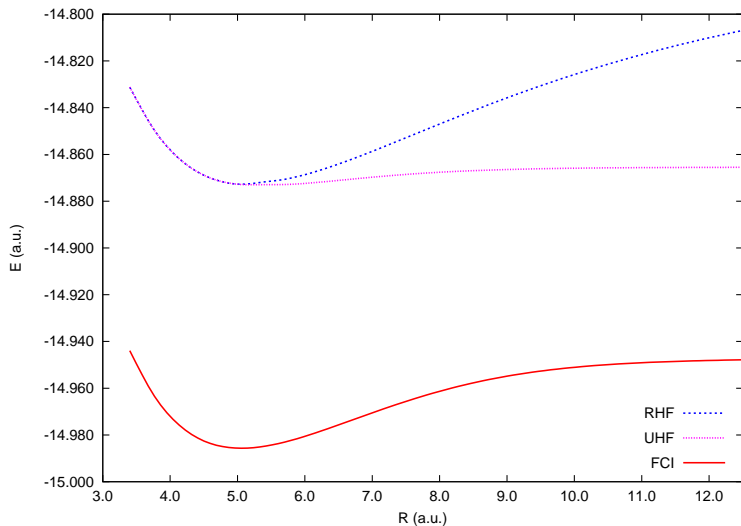
### RHF cons:

- incorrect dissociation limit (in standard formulation)

### RHF pros:

- well defined spin
- good convergence for HF equations

# RHF vs. UHF



## Computational strategy in the wave function based calculations

- Determination of correlation energy

Perturbation theory (MPn)

Configuration Interaction (CI)

**Coupled Cluster (CC)**

$$H\Psi = E\Psi$$

Expressing the wave function  $\Psi$  as a linear combination of (ground and excited) state configurations:

$$\begin{aligned}\Psi &= \Phi_0 + \sum_{a,i} c_i^a \Phi_i^a \\ &+ \sum_{a,b,i,j} c_{ij}^{ab} \Phi_{ij}^{ab} \\ &+ \sum_{a,b,c,i,j,k} c_{ijk}^{abc} \Phi_{ijk}^{abc} \\ &+ \dots\end{aligned}$$

# Configurations

		—	—	—	—
		—	—	—	—
		—	—	—	—
·	·	—	—	—	—
·	·	—	—	—	—
·	·	—	—	—	—
·	c	—	—	—	↑
·	b	—	—	↑	↑
·	a	—	↓	↓	↓
·	i	↑↓	↑	↑	↑
·	j	↑↓	↑↓	↓	↓
·	k	↑↓	↑↓	↑↓	↓
2	·	↑↓	↑↓	↑↓	↑↓
1	·	↑↓	↑↓	↑↓	↑↓
		$\Phi_0$	$\Phi_i^a$	$\Phi_{ij}^{ab}$	$\Phi_{ijk}^{abc}$
			$\hat{T}_1$	$\hat{T}_2$	$\hat{T}_3$
			$\hat{C}_1$	$\hat{C}_2$	$\hat{C}_3$

CCD, CCSD, CCSDT, CCSDTQ, ... , FULL CC  
 CID, CISD, CISDT, CISDTQ, ... , FULL CI

## Expansion coefficients are obtained using:

- 1 perturbation theory  $\longrightarrow$  Moeller-Plesset corrections: MP2, MP3, ...

$$V = \sum_{i>j} \frac{1}{r_{ij}} - \sum_q (J_q - K_q)$$

- 2 linear parametrization of the wave function  
$$\Psi = (1 + C)\Phi_o$$

Configuration Interaction method

- 3 **exponential parametrization of the wave function**

$$\Psi = \exp(\mathbf{T})\Phi_o$$

**Coupled Cluster method**

# Coupled cluster method

exponential parametrization of the wave function

$$|\Psi_0\rangle = e^{\mathbf{T}}|\Phi_0\rangle$$

$$\mathbf{T} = \mathbf{T}_1 + \mathbf{T}_2 + \mathbf{T}_3 \dots + \mathbf{T}_N$$

where  $\mathbf{T}_n$  – n-tuple excitation operator

$$\mathbf{T}_n = (\mathbf{n}!)^{-2} \sum_{ab\dots} \sum_{ij\dots} t_{ij\dots}^{ab\dots} \mathbf{a}^\dagger \mathbf{b}^\dagger \dots \mathbf{j} \mathbf{i}$$

and  $t_{ij\dots}^{ab\dots} \mathbf{a}^\dagger \mathbf{b}^\dagger \dots \mathbf{j} \mathbf{i}$  - coefficients (amplitudes) to be determined

# Coupled cluster method

- amplitude equation:

$$\langle \Phi_{ij\dots}^{\text{ab}\dots} | e^{-\mathbf{T}} \mathbf{H} e^{\mathbf{T}} | \Phi_o \rangle = \langle \Phi_{ij\dots}^{\text{ab}\dots} | \bar{\mathbf{H}} | \Phi_o \rangle = 0$$

- energy expression:

$$\mathbf{E} = \langle \Phi_o | e^{-\mathbf{T}} \mathbf{H} e^{\mathbf{T}} | \Phi_o \rangle = \langle \Phi_o | \bar{\mathbf{H}} | \Phi_o \rangle$$

where  $\bar{\mathbf{H}}$  – similarity transformed Hamiltonian.



# Coupled cluster method

## SR

CCD	(Bartlett, Purvis, 1978; Pople et al. 1978)
CCSD	(Purvis, Bartlett, 1982)
CCSDT	(Noga, Bartlett, 1987; Watts, Bartlett, 1989)
CCSDTQ	(Kucharski Bartlett, 1992)
CCSDTQP	(Musiał, Kucharski, Bartlett, 2002)

**S** – Singles

**D** – Doubles

**T** – Triples

**Q** – Quadruples

**P** – Pentuples

# Coupled cluster method

## size-extensivity

**Correct scaling of the energy with the size of the system  $\implies$  correct separation of the non-interacting fragments.**

For the  $AB$  molecule composed of the non-interacting fragments  $A$  and  $B$  assuming that  $\Phi_{AB} = \Phi_A \Phi_B$  we get:

$$\Psi_{AB} = \exp(\mathbf{T}_{AB})|\Phi_{AB}\rangle = \exp(\mathbf{T}_A)|\Phi_A\rangle \exp(\mathbf{T}_B)|\Phi_B\rangle = \Psi_A \Psi_B$$

$$E_{CC}^{AB} = E_{CC}^A + E_{CC}^B$$

Important quantity in the CC theory:

$\bar{\mathbf{H}}$  - similarity transformed Hamiltonian

$$\bar{\mathbf{H}} = \mathbf{e}^{-\mathbf{T}} \mathbf{H} \mathbf{e}^{\mathbf{T}} = (\mathbf{H} \mathbf{e}^{\mathbf{T}})_c$$

$\bar{\mathbf{H}}$  operator includes one-body, two-body and also higher (three, four, ...) -body elements.

How do we obtain  $\bar{\mathbf{H}}$  operator?

- Get  $\mathbf{T}$  amplitudes from the CC amplitude equations:

$$\langle \Phi_{ij\dots}^{ab\dots} | e^{-\mathbf{T}} \mathbf{H} e^{\mathbf{T}} | \Phi_o \rangle = 0$$

- With  $\mathbf{T}$  amplitudes construct  $\bar{\mathbf{H}}$  :

$$\bar{\mathbf{H}} = (\mathbf{H} e^{\mathbf{T}})_c$$

## Equation-Of-Motion CC (EOM-CC) method

## EOM-CC method

- CI-like calculations for the  $\bar{H}$  operator

### Reminder:

Standard CI are based on the regular Hamiltonian (H).

# EOM-CC method

Wave function:

$$|\Psi_{\mathbf{k}}\rangle = \mathbf{R}(\mathbf{k})|\Psi_0\rangle \quad \mathbf{k} = 1, 2, \dots$$

Schrödinger equation:

$$\mathbf{H}\mathbf{R}(\mathbf{k})|\Psi_0\rangle = \mathbf{E}_{\mathbf{k}}\mathbf{R}(\mathbf{k})|\Psi_0\rangle$$

$$\mathbf{R}(\mathbf{k})\mathbf{H}|\Psi_0\rangle = \mathbf{E}_0\mathbf{R}(\mathbf{k})|\Psi_0\rangle$$

Equation-of-motion:

$$[\mathbf{H}, \mathbf{R}(\mathbf{k})]|\Psi_0\rangle = \omega_{\mathbf{k}}\mathbf{R}(\mathbf{k})|\Psi_0\rangle$$

# EOM-CC method

Since  $|\Psi_o\rangle = e^T|\Phi_o\rangle$  we obtain - after simple algebra - eigenvalue equation:

$$(\bar{H}R(k))_c|\Phi_o\rangle = \omega_k R(k)|\Phi_o\rangle$$

or in matrix form:

$$\bar{H}R(k) = \omega_k R(k)$$



# EOM-CC method

$$\begin{aligned} \mathbf{R}^{\text{EE}}(\mathbf{k}) &= \mathbf{r}_o(\mathbf{k}) + \sum_a \sum_i r_i^a(\mathbf{k}) a^\dagger i + \frac{1}{4} \sum_{ab} \sum_{ij} r_{ij}^{ab}(\mathbf{k}) a^\dagger b^\dagger ji \\ &+ \frac{1}{36} \sum_{abc} \sum_{ijl} r_{ijl}^{abc}(\mathbf{k}) a^\dagger b^\dagger c^\dagger lji + \dots \end{aligned}$$

$$\begin{aligned} \mathbf{R}^{\text{IP}}(\mathbf{k}) &= \sum_i r_i(\mathbf{k}) i + \frac{1}{2} \sum_a \sum_{ij} r_{ij}^a(\mathbf{k}) a^\dagger ji \\ &+ \frac{1}{12} \sum_{ab} \sum_{ijl} r_{ijl}^{ab}(\mathbf{k}) a^\dagger b^\dagger lji + \dots \end{aligned}$$

$$\begin{aligned} \mathbf{R}^{\text{EA}}(\mathbf{k}) &= \sum_a r^a(\mathbf{k}) a^\dagger + \frac{1}{2} \sum_{ab} \sum_i r_i^{ab}(\mathbf{k}) a^\dagger b^\dagger i \\ &+ \frac{1}{12} \sum_{abc} \sum_{ij} r_{ij}^{abc}(\mathbf{k}) a^\dagger b^\dagger c^\dagger ji + \dots \end{aligned}$$

# EOM-CC method

$$\mathbf{R}^{\text{DIP}}(\mathbf{k}) = \frac{1}{2} \sum_{ij} r_{ij}(\mathbf{k}) \mathbf{j} \mathbf{i} + \frac{1}{6} \sum_a \sum_{ijl} r_{ijl}^a(\mathbf{k}) \mathbf{a}^\dagger \mathbf{l} \mathbf{j} \mathbf{i} + \dots$$

$$\mathbf{R}^{\text{DEA}}(\mathbf{k}) = \frac{1}{2} \sum_{ab} r^{ab}(\mathbf{k}) \mathbf{a}^\dagger \mathbf{b}^\dagger + \frac{1}{6} \sum_{abc} \sum_i r_i^{abc}(\mathbf{k}) \mathbf{a}^\dagger \mathbf{b}^\dagger \mathbf{c}^\dagger \mathbf{i} + \dots$$

# EOM-CC method

EOM-CCSD model

$$\bar{H} = \begin{bmatrix} \langle S | \bar{H} | S \rangle & \langle S | \bar{H} | D \rangle \\ \langle D | \bar{H} | S \rangle & \langle D | \bar{H} | D \rangle \end{bmatrix}$$

$S \equiv \Phi_j$	$D \equiv \Phi_{ij}^a$	Ionization potential (IP)
$S \equiv \Phi^b$	$D \equiv \Phi_i^{ab}$	Electron affinity (EA)
$S \equiv \Phi_i^a$	$D \equiv \Phi_{ij}^{ab}$	Excitation energy (EE)

## EOM-CCSDT model

$$\bar{H} = \begin{bmatrix} \langle S | \bar{H} | S \rangle & \langle S | \bar{H} | D \rangle & \langle S | \bar{H} | T \rangle \\ \langle D | \bar{H} | S \rangle & \langle D | \bar{H} | D \rangle & \langle D | \bar{H} | T \rangle \\ \langle T | \bar{H} | S \rangle & \langle T | \bar{H} | D \rangle & \langle T | \bar{H} | T \rangle \end{bmatrix}$$

$$\text{IP: } T \equiv \Phi_{ijl}^{ab}$$

$$\text{EA: } T \equiv \Phi_{ij}^{abc}$$

$$\text{EE: } T \equiv \Phi_{ijl}^{abc}$$

## EOM-CCSD for DIP and DEA

$$\bar{\mathbf{H}} = [ \langle \mathbf{D} | \bar{\mathbf{H}} | \mathbf{D} \rangle ]$$

$\mathbf{D} \equiv \Phi_{ij}$       Double ionization potential (DIP)

$\mathbf{D} \equiv \Phi^{ab}$       Double electron affinity (DEA)

EOM-CCSDT for DIP and DEA

$$\bar{\mathbf{H}} = \begin{bmatrix} \langle \mathbf{D} | \bar{\mathbf{H}} | \mathbf{D} \rangle & \langle \mathbf{D} | \bar{\mathbf{H}} | \mathbf{T} \rangle \\ \langle \mathbf{T} | \bar{\mathbf{H}} | \mathbf{D} \rangle & \langle \mathbf{T} | \bar{\mathbf{H}} | \mathbf{T} \rangle \end{bmatrix}$$

DIP:  $\mathbf{T} \equiv \Phi_{ijk}^a$

DEA:  $\mathbf{T} \equiv \Phi_i^{abc}$

**Efficient technique used to diagonalize large matrices:**

Davidson method generalized for non-Hermitian matrices.

A crucial step in the Davidson procedure is taking product of the amplitude vector  $\mathbf{R}$  and the matrix - to be diagonalized -  $\bar{\mathbf{H}}$

$$\mathbf{x}_k = \bar{\mathbf{H}}\mathbf{R}_k$$



The product  $\bar{H}R_k$  **cannot** be taken directly as a matrix product.

Why?

Rank of  $\bar{H}$  goes into millions.

We know  $\bar{H}$  operator but we do not construct  $\bar{H}$  matrix.

Thus our equations can be seen as:

## EE-EOM-CCSDT equations

$$\mathbf{R}(\mathbf{k}) = \mathbf{R}_0(\mathbf{k}) + \mathbf{R}_1(\mathbf{k}) + \mathbf{R}_2(\mathbf{k}) + \mathbf{R}_3(\mathbf{k})$$

$$x_i^a(k) = \langle \Phi_i^a | (\bar{\mathbf{H}}_N \mathbf{R}(\mathbf{k}))_c | \Phi_0 \rangle$$

$$x_{ij}^{ab}(k) = \langle \Phi_{ij}^{ab} | (\bar{\mathbf{H}}_N \mathbf{R}(\mathbf{k}))_c | \Phi_0 \rangle$$

$$x_{ijl}^{abc}(k) = \langle \Phi_{ijl}^{abc} | (\bar{\mathbf{H}}_N \mathbf{R}(\mathbf{k}))_c | \Phi_0 \rangle$$

$x_i^a, x_{ij}^{ab}, x_{ijl}^{abc}$  – elements of the  $\mathbf{x}_k$  vector

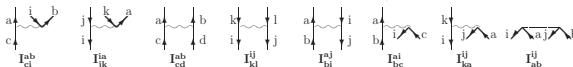
# EOM-CC method

The diagrammatic representation of the elements of  $\bar{H}_N$  used in derivation of the EE-EOM-CCSDT equations.

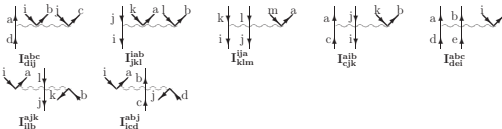
one-body  $I^1 : I_1^1 + I_2^1$



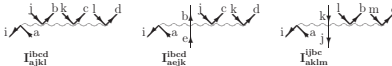
two-body  $I^2 : I_1^2 + I_2^2 + I_3^2 + I_4^2$









three-body  $I^3 : I_1^3 + I_2^3 + I_3^3$



four-body  $I^4 : I_2^4 + I_3^4$



# EOM-CC method

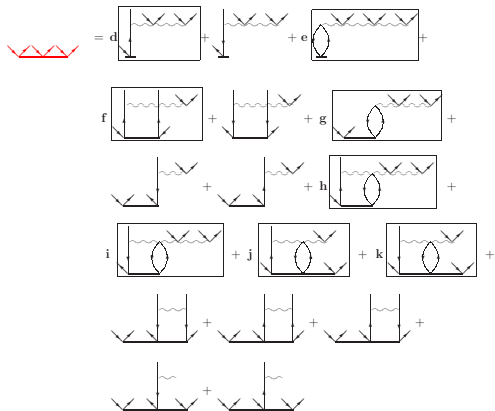
	S 	D 	T 
S 	$I_1^1$ $I_2^{2'}$	$I_2^1$ $I_3^2$	$I_4^2$
D 	$I_1^2$ $I_2^{3'}$	$I_1^1$ $I_2^2$ $I_3^3$	$I_2^1$ $I_3^2$
T 	$I_1^3$ $I_2^{4'}$ $I_3^4$	$I_1^2$ $I_2^3$ $I_3^3$	$I_1^1$ $I_2^2$

# EOM-CC method

Diagrammatic form of the standard  
EE-EOM-CCSDT equations.

$$\begin{aligned} \downarrow &= \downarrow + \downarrow + \text{loop} + \text{loop} + \\ &\quad \text{loop} + \text{loop} + \text{loop} \\ \downarrow\downarrow &= \downarrow\downarrow + \downarrow\downarrow + \downarrow\downarrow + \downarrow\downarrow + \\ &\quad \downarrow\downarrow + \downarrow\downarrow + \downarrow\downarrow + \boxed{\text{loop}} + \\ &\quad \boxed{\text{loop}} + \boxed{\text{loop}} + \text{loop} + \\ &\quad \downarrow\downarrow + \downarrow\downarrow \end{aligned}$$

# EOM-CC method



## $\bar{\mathbf{H}}$ operator

- 1  $\bar{\mathbf{H}}$  is non-Hermitian
- 2 It includes three-, four- and higher-body elements

$$\bar{\mathbf{H}} = \mathbf{e}^{-\mathbf{T}} \mathbf{H} \mathbf{e}^{\mathbf{T}} = (\mathbf{H} \mathbf{e}^{\mathbf{T}})_c$$

Expanding  $\bar{\mathbf{H}}_{\mathbf{N}}$  into one-body, two-body, three-body, ... etc. contributions we get:

$$\bar{\mathbf{H}}_{\mathbf{N}} = \mathbf{I}^0 + \sum_{\mathbf{k}=0}^2 \mathbf{I}_{\mathbf{k}}^1 + \sum_{\mathbf{k}=0}^4 \mathbf{I}_{\mathbf{k}}^2 + \sum_{\mathbf{k}=0}^3 \mathbf{I}_{\mathbf{k}}^3 + \sum_{\mathbf{k}=0}^3 \mathbf{I}_{\mathbf{k}}^4 + \dots$$

## Computational strategy

Steps applied in the standard EOM-CC method:

- solve the standard CCSD (or CCSDT) equations for the ground state problem
- using the converged  $T_1$ ,  $T_2$  (or  $T_3$ ) amplitudes construct all  $\bar{H}$  elements
- apply a generalized Davidson diagonalization procedure to obtain eigenvalues of the  $\bar{H}$  matrix.